



GP 2787

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PATENT
TIC 700 MAIL ROOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of)
Rose, et al.) For: Method of Authenticating
Serial No. 09/468,557) Anonymous Users While
) Reducing Potential for
) "Middleman" Fraud
Filed: December 21, 1999) Group Art Unit: 2787

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(b)

Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

Applicants, through their attorney, submit herewith references of which they are aware for consideration in examination of this application and with respect to which there may be a duty to disclose in accordance with 37 CFR § 1.56.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231, on:

10 May, 2000

(Date of Deposit)

Kayla R. Seignious

(Name of the Person Making Deposit)

Kayla R. Seignious

(Signature)

10 May, 2000

(Date of Signature)

The citation of these references is not intended to constitute an admission that any reference referred to herein is prior art to the invention of this application.

The filing of this document shall not be construed to mean that any search has been made or, that if made such search was complete or exhaustive, or that no other material information as defined in 37 CFR § 1.56 exists.

A list of the references cited herein is set forth on Form PTO-1449 which is enclosed herewith in duplicate along with a copy of each cited reference. Applicants respectfully request that the Examiner return to Applicants the enclosed copy of the Form PTO-1449 indicating consideration of the references.

The subject application is believed patentable over any of the above-references.

Respectfully submitted,

By:



Thomas R. Rouse
Attorney for Applicants
Reg. No. 40,793

Dated: 10 May, 2000

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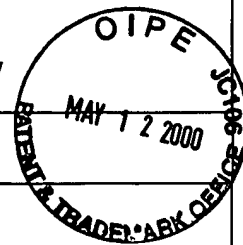
Encl.: 1. Form PTO 1449 in duplicate
2. Copies of references cited on Form PTO-1449

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| FORM PTO-1449 (REV. 7-80) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. QCPA990485 | SERIAL NO. 09/468,557 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | | APPLICANT Rose, et al. | |
| | | | | FILING DATE 12/21/99 | GROUP 2787 |
| DATE MAILED: 5/10/00 | | | | | |



U.S. PATENT DOCUMENTS

| *EXAMINE R INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPRO- PRIATE |
|--------------------------|----|-----------------|------|------|-------|--------------|------------------------------------|
| | A1 | | | | | | |
| | A2 | | | | | | |
| | A3 | | | | | | |
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| | A7 | | | | | | |
| | A8 | | | | | | |

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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | Ref No | DOCUMENT NUMBER | DATE | COUNTRY | NAME | CLASS | SUB CLASS |
|---------------------|-----------|-----------------|------|---------|------|-------|--------------|
| | B1 | | | | | | |
| | B2 | | | | | | |
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| | B4 | | | | | | |
| | B5 | | | | | | |

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Page, Etc.)

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|---|----|---|
| | C1 | Merkle, Ralph C. "Secure Communications Over Insecure Channels" Communications of the ACM 21(4): 294-299 (1978). |
| | C2 | Rivest, et al. "Time-Lock Puzzles and Timed-Release Crypto" pgs. 1-8 (February 21, 1996). |
| | C3 | Back, Adam "Hash Cash-A Partial Hash Collision Based Postage Scheme" pgs. 1-5. (printed from website www.cypherspace.org/~adam/ on April 25, 2000). |
| EXAMINER | | DATE CONSIDERED |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | |